| MUNICIP.  | ALITIES MEET                         |   | RIA FO       |  | MFMA s13  | 8 Triggers  |   | 0%  | MFMA s14<br>Pro-Rata        | 10 Trigger<br>Budget (                      | s based on<br>3 2018/19        |   | MFMA s14<br>Full Yea      | 40 Trigger<br>ar Adjuste<br>2018/19           | s Based on<br>d Budget         |   | based or                  | 2018/19  | s (SEVERE)<br>Budget Q3         |  | Based o                    | n Full Year<br>udget 2018                        | /19                           |  | State o<br>Goveri<br>Finance<br>Manageme | nment<br>e & Fin                              |
|---|--------------------------------------|---|--------------|--|---|---|---|---|-----------------------------|---|--------------------------------|---|---------------------------|---|--------------------------------|---|---------------------------|--|---------------------------------|--|----------------------------|--|-------------------------------|--|--|---|
| LEGEND<br>No Triggers<br>1 Trigger<br>2 Triggers<br>3 & 4 Trigg |                                      | Total<br>Number of<br>Triggers per<br>Municipality<br>Based on<br>Full Year<br>Adj Budget |              | Failure to<br>make<br>payments as &<br>when due<br>(s138(a))<br>(90 days ><br>R1m)<br>March 2019 | revenue<br>(s138(d))  | Negative /<br>Outstanding<br>Audit (s138(e)<br>& (f)) | Any other<br>material<br>reason that<br>makes it likely<br>not to be able<br>to meet<br>obligations for<br>financial<br>reason<br>(s138(h))<br>2017/18 Actual<br>2018/19 Actual | s138<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to mal<br>operating | ke any other p<br>expenditure<br>March 2019 | ayment > 2% of<br>(s140(2)(c)) | s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to ma<br>operatin | ke any other p<br>g expenditure<br>March 2019 | ayment > 2% of<br>(s140(2)(c)) | s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to ma<br>operatin | ke any other pa<br>g expenditure (<br>March 2019 | syment > 40% of<br>(s140(2)(c)) | Severe s140 Trigger index (1 or more Criteria) | Failure to mai<br>operatin | ke any other pa<br>g expenditure (<br>March 2019 | yment > 40% of<br>s140(2)(c)) | Severe s140 Trigger index (1 or more Criteria) | Financial<br>Distress 2018               | Financial<br>Distress<br>2018 Trigge<br>index |
|   |                                      | 80.5%   |              |  | Q3 Revenue &<br>Deficit based<br>on<br>YTD Billing &<br>Expenditure |   | Negative Cash<br>Position - Two<br>consecutive<br>years (Cash/ &<br>equivalents at<br>year end)   | 76.3%   | ESKOM<br>> 2% Opex          | Water Board<br>> 2% Opex                    | Total creditors > 2% Opex      | 47.1%   | ESKOM<br>> 2% Opex        | Water Board<br>> 2% Opex                      | s Total creditors<br>> 2% Opex | 44.4%   | ESKOM<br>> 40% Opex       | Water Boards<br>> 40% Opex                       | s Total creditors<br>> 40% Opex | 19.1%  | ESKOM<br>> 40% Opex        | Water Boards<br>> 40% Opex                       | Total creditors<br>> 40% opex | 15.6%  |  | 48.6%   |
| Code<br>EASTERN CA  | Name                                 | 207   |              | 160  | 29  | 138   | 7   | 196   | 79                          | 59  | 87                             | 121   | 78                        | 56  | 82                             | 114   | 32                        | 15   | 38                              | 49   | 25                         | 9  | 34                            | 40   | 125                                      | 125   |
| BUF Buf   | ffalo City<br>Ison Mandela Bay       | 1   | н а          | -<br>TRUE  | TRUE  | TRUE<br>TRUE  | -   | 1   | -                           |   | -                              | -   | -                         |   | -                              | -   | -                         | -  | -                               | -  | -                          | -  | -                             | -  |  | -   |
| EC101 Dr I  | Beyers Naude                         | 3   | L B2         | TRUE   |   | TRUE  |   | 1   | TRUE                        | :   | TRUE                           | 1   | TRUE                      | :   | TRUE                           | 1   | -                         |  | -                               |  |                            | -  |                               | -  | TRUE<br>TRUE                             | 1   |
| EC104 Mail<br>EC105 Ndl   | kana                                 | 2   | M B3         | TRUE   | -   | TRUE  | TRUE  | 1   | TRUE                        | TRUE  | NO REPORTIN                    | 1   | TRUE                      | TRUE  | NO REPORTING                   | - 1   | -                         | -  | O REPORTING                     | -  | -                          | -  | NO REPORTING                  | -  | 11102                                    |   |
| EC106 Sur   | ndays River Valley                   | 2   | M B3         | TRUE<br>TRUE   |   | TRUE  |   | 1   |                             |   | TRUE                           | 1   | -                         |   | TRUE                           | 1   |                           |  |                                 |  |                            |  |                               |  | TRUE                                     |   |
| EC109 Kou   | u-Kamma<br>rah Baartman              | 2   | M B3         | TRUE   |   |   |   | i   | NO FUNCTION                 |   | TRUE                           | i   | NO FUNCTION               |   | -                              |   | NO FUNCTION               |  | -                               |  | NO FUNCTION                |  |                               | -  | TRUE                                     |   |
| EC121 Mbl<br>EC122 Mnd  | hashe<br>quma                        | 1 2   | L B3<br>M B3 | -<br>TRUE  | -   | -<br>TRUE   | -   |   | -                           | -   | -                              | -   | -                         | -   | -                              | -   | -                         | -  | -                               | -  | -                          | -  | -                             | -  | TRUE<br>TRUE                             | 1   |
| EC123 Gre<br>EC124 Am   | eat Kei<br>nahlathi                  | 3   | L B3<br>L B4 | TRUE<br>TRUE   | -<br>TRUE   | -   | -   | 1   | TRUE<br>TRUE                | -   | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE              | -   | TRUE<br>TRUE                   | 1   |                           | -  | -                               | -  | -                          | -  | -                             | -  | TRUE                                     |   |
| EC126 Ngc<br>EC129 Ray  | qushwa<br>ymond Mhlaba               | 3   | M B4<br>L B4 | TRUE   | -   | -   | -   | 1   | TRUE                        |   |                                | 1   | TRUE                      |   | -                              | . 1   | :                         | -  | -                               | -  | -                          | -  | -                             | -  | TRUE                                     |   |
| DC12 Am   | nathole<br>suba Yethemba             | 2 2   | H C2<br>L    | TRUE   | TRUE  | TRUE  | -   | 1   | TRUE                        | TRUE  | TRUE<br>TRUE                   | 1   | TRUE                      | TRUE  | TRUE                           |   | TRUE                      | -  | -                               | . 1  | -                          | -  | -                             | -  |  | :   |
| EC135 Ints<br>EC136 Em  | sika Yethu<br>salahleni (EC)         | 1 2   | L B3<br>L B3 | TRUE<br>TRUE   | -   | -   | :   | 1 1   | -                           | -   | NO REPORTIN                    | G -   |                           | -   | NO REPORTING                   | -   | :                         | -  | O REPORTING<br>O REPORTING      | -  | :                          | -  | NO REPORTING                  | -  | TRUE                                     |   |
|   | gcobo<br>khisizwe                    | 1   | M B4<br>L B4 | -  | -   | TRUE<br>TRUE  | -   | 1   | -                           |   | NO REPORTIN                    | -<br>G -  | -                         |   | NO REPORTING                   | -   | -                         | -  | O REPORTING                     | -  | -                          | -  | NO REPORTING                  | -  |  | -   |
|   | och Mgijima<br>ris Hani              | 2 2   | M B4<br>M C2 | TRUE<br>TRUE   | -   | TRUE  | -   | 1   | TRUE                        |   | -                              | 1   | TRUE -                    | -   | -                              | . 1   |                           | -  | -                               | -  | -                          | -  | -                             | -  | TRUE                                     |   |
| EC141 Elu<br>EC142 Sen  | ındini<br>ngu                        | 2   | L<br>M B4    | TRUE -   | -   | TRUE  | -   | 1   | -                           |   | TRUE -                         | 1   | -                         |   | -                              | -   | -                         | -  | -                               | -  | -                          | -  | -                             | -  | TRUE                                     |   |
|   | ilter Sisulu<br>e Gqabi              | 4 3   | L B4<br>H C2 | TRUE<br>TRUE   | -   | TRUE  | -   | 1   | TRUE<br>-                   | TRUE  | TRUE -                         | 1   | TRUE -                    | TRUE  | TRUE -                         |   | TRUE -                    | -  | TRUE                            | 1  | TRUE<br>-                  | -  | TRUE -                        | 1  | TRUE<br>TRUE                             |   |
| EC153 Ngc<br>EC154 Por  | quza Hills<br>rt St Johns            | 1 2   | L B2<br>M B4 | -  | -<br>TRUE   | TRUE<br>TRUE  | -   | 1 1   | -                           |   | -                              | -   | -                         |   | -                              |   | -                         | -  | - :                             | -  | -                          | -  | -                             | -  | TRUE                                     |   |
|   | andeni<br>Iontlo                     | -   | L B4<br>L B4 | -  | -   | -   | -   | -   | -                           |   | -                              | -   | -                         | -   | -                              | :   | -                         | -  | -                               | -  | -                          | -  | -                             | -  |  | -   |
| EC157 Kin   | ng Sabata Dalindyebo<br>R Tambo      | 3 2   | H B4<br>H C2 | TRUE<br>TRUE   | -   | TRUE<br>TRUE  | -   | 1   | TRUE<br>-                   | TRUE -                                      | TRUE -                         | 1   | TRUE -                    | TRUE -  | TRUE -                         | . 1   | :                         | -  | -                               | -  | -                          | -  | -                             | -  | TRUE<br>TRUE                             |   |
|   | tatiele<br>nzimvubu                  | 1   | M B3<br>M B4 | -  | -   | -<br>TRUE   | -   | - 1   | -                           |   | NO REPORTIN                    | -<br>-  | -                         | -   | NO REPORTING                   | :   | -                         | -  | O REPORTING                     | -  | -                          | -  | NO REPORTING                  | -  | TRUE                                     |   |
| EC444 Nta   | izana<br>ibankulu                    | 2   | M B4<br>L B4 | -  | -   | -   | -<br>TRUE   | 1   | -                           |   | NO REPORTIN                    | -<br>-  | -                         | -   | NO REPORTING                   | :   | -                         | -  | O REPORTING                     | -  | -                          | -  | NO REPORTING                  | -  | TRUE                                     | -   |
| DC44 Alfr   | red Nzo                              | 1   | M C2         | TRUE   | -   |   |   | 1   | -                           |   | -                              | -   | -                         |   | -                              |   | -                         | -  | -                               | -  | -                          | -  | -                             | -  |  |   |
| FS161 Let:  | ngaung<br>Isemeng                    | 3   | H A<br>M B3  | TRUE<br>TRUE   |   | TRUE<br>TRUE  |   | 1 1   | TRUE<br>TRUE                |   | -<br>TRUE                      | 1   | TRUE<br>TRUE              |   | -<br>TRUE                      |   |                           | -  | -                               | -  |                            | -  |                               | -  | TRUE<br>TRUE                             |   |
| FS162 Kop<br>FS163 Mol  | panong<br>hokare                     | 4   | M B3<br>L B3 | TRUE -   |   | TRUE<br>TRUE  |   | 1 1   | TRUE<br>-                   | TRUE -                                      | NO REPORTIN<br>NO REPORTIN     | <mark>d 1</mark>                                    | TRUE -                    | TRUE -  | NO REPORTING                   | . 1   | TRUE .                    | TRUE   | O REPORTING<br>O REPORTING      | - 1  | TRUE -                     | TRUE -   | NO REPORTING                  | 1  | TRUE                                     |   |
| FS181 Mas   | ariep<br>silonyana                   | 4   | L C1<br>L B1 | TRUE   |   | TRUE  |   | 1   | NO FUNCTION<br>TRUE         |   | NO REPORTIN<br>TRUE            | <mark>c</mark> -                                    | NO FUNCTION<br>TRUE       |   | NO REPORTING<br>TRUE           | . 1   | NO FUNCTION               | -  | O REPORTING<br>TRUE             | 1  | NO FUNCTION                | -  | NO REPORTING<br>TRUE          | 1  | TRUE                                     |   |
| FS183 Tsv   | kologo<br>welopele                   | 3<br>3  | L B3<br>M B3 | TRUE<br>TRUE   |   | TRUE  |   | 1 1   | TRUE<br>TRUE                | TRUE -                                      | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE              | TRUE -  | TRUE                           |   | TRUE -                    |  | TRUE -                          | 1  | TRUE -                     |  | TRUE -                        | 1  | TRUE                                     | -   |
| FS184 Mat<br>FS185 Nal  | tjhabeng<br>Ia                       | 3<br>4  | H B3<br>M B3 | TRUE<br>TRUE   |   | TRUE<br>TRUE  |   | 1 1   | TRUE<br>TRUE                | TRUE  | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE              | TRUE  | TRUE                           | 1   | TRUE<br>TRUE              | TRUE   |                                 | 1 1  | TRUE<br>TRUE               | TRUE -   | TRUE<br>TRUE                  | 1 1  | TRUE                                     | -   |
|   | weleputswa<br>Isoto                  | 2   | L C1<br>M B2 | TRUE   |   | TRUE  |   | 1 1   | NO FUNCTION<br>TRUE         |   |                                | - 1   | NO FUNCTION<br>TRUE       |   | -                              | 1   | NO FUNCTION               |  | -                               | -  | NO FUNCTION                |  |                               | -  | TRUE<br>TRUE                             |   |
|   | etoana                               | 2   | M B3<br>M B3 | TRUE<br>TRUE   |   | TRUE  |   | 1 1   | TRUE<br>TRUE                | TRUE  | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE              |   | TRUE                           |   | TRUE                      |  | TRUE<br>TRUE                    | 1  | -<br>TRUE                  |  | -<br>TRUE                     | 1  | TRUE                                     |   |
| FS195 Phu   | luti-a-Phofung<br>umelela            | 4   | H B3<br>L B3 | TRUE<br>TRUE   | TRUE -  | TRUE<br>TRUE  | -   | 1 1   | TRUE<br>TRUE                | TRUE<br>TRUE                                | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE              | TRUE<br>TRUE                                  | TRUE<br>TRUE                   |   | TRUE<br>TRUE              | TRUE   | TRUE<br>TRUE                    | 1 1  | TRUE<br>TRUE               | TRUE   | TRUE<br>TRUE                  | 1<br>1   | TRUE<br>TRUE                             |   |
|   | ntsopa<br>abo Mofutsanyana           | 2   | M B3<br>L C1 | TRUE<br>TRUE   | TRUE -  | TRUE<br>TRUE  | -   | 1   | TRUE<br>NO FUNCTION         |   | TRUE<br>TRUE                   | 1   | TRUE<br>NO FUNCTION       |   | TRUE<br>-                      | 1   | TRUE<br>NO FUNCTION       | -  | TRUE -                          | 1  | TRUE<br>NO FUNCTION        |  | TRUE<br>-                     | 1  | TRUE<br>TRUE                             |   |
| FS203 Ngv   | qhaka<br>wathe                       | 3   | H B2<br>M B2 | TRUE<br>TRUE   | -   | TRUE  | -   | 1 1   | TRUE<br>TRUE                | TRUE  | NO REPORTIN<br>TRUE            | 0 1<br>1  | TRUE<br>TRUE              | TRUE  | NO REPORTING<br>TRUE           |   | TRUE                      | -  | O REPORTING<br>TRUE             | 1  | -<br>TRUE                  |  | NO REPORTING<br>TRUE          | . 1  | TRUE                                     |   |
|   | tsimaholo<br>fube                    | 3<br>4  | H B3         | TRUE<br>TRUE   | -   | TRUE<br>TRUE  | -   | 1   | TRUE                        | TRUE<br>TRUE                                | TRUE<br>TRUE                   | 1   | TRUE                      | TRUE<br>TRUE                                  | TRUE<br>TRUE                   | 1   | TRUE                      | TRUE   |                                 | 1  | TRUE                       | TRUE   | -<br>TRUE                     | 1  | TRUE<br>TRUE                             | 1   |
| GAUTENG   | zile Dabi                            | 1   | L C1         | -  | -   | TRUE  | -   | 1   | NO FUNCTION                 | -   | NO REPORTIN                    | -   | NO FUNCTION               | -   | NO REPORTING                   | -   | NO FUNCTION               | -  | O REPORTING                     |  | NO FUNCTION                | -  | NO REPORTING                  | -  |  | خصيا  |
| EKU City<br>JHB City  | y of Ekurhuleni<br>y Of Johannesburg | 2   | H A          | TRUE   | -   |   | -   | 1   | -                           | -   | -                              | -   | -                         | -   | -                              | -   | -                         | -  | -                               |  | -                          | -  | -                             | -  | TRUE                                     | فعرا  |
|   | y Of Tshwane                         |   |              | TRUE   | -   | -   | _   |   |                             |   | _                              |   |                           | _   | -                              |   |                           | _  | -                               |  |                            |  | -                             |  | TRUE                                     |   |

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|        | MUNICIPALITIES MEET                              | TING CRIT             | FRIA F    | OR DETER                  | MINING SE                     | RIOUS FI                  | NANCIAL P                        | ROBI EM                 | S IN TERM                 | IS OF SE                 | CTION 138                      | & 140 OF                | THE MEM                    | A - O3 201                | 18/19                          |                         |                           |                                  |                                |                         |                             |                            |                               |                         |                            |                       |
|--------|--|-----------------------|-----------|---------------------------|-------------------------------|---------------------------|----------------------------------|-------------------------|---------------------------|--------------------------|--------------------------------|-------------------------|----------------------------|---------------------------|--------------------------------|-------------------------|---------------------------|----------------------------------|--------------------------------|-------------------------|-----------------------------|----------------------------|-------------------------------|-------------------------|----------------------------|-----------------------|
|        | MONION ALTHEO MEE                                | riito oitir           | LINIAI    | OK DETEK                  | MFMA s13                      |                           |                                  | 0%                      |                           |                          | s based on                     | u 140 OI                |                            |                           | s Based on                     |                         | MFMA s1                   | 40 Triggers                      | (SEVERE)                       |                         | MFMA s14                    | 10 Triggers                | (SEVERE)                      |                         | State of                   | f Local               |
|        |  |                       |           |                           |                               |                           |                                  |                         | Pro-Rata                  | Budget C                 | 3 2018/19                      |                         | Full Yea                   | r Adjusted<br>2018/19     |                                |                         | based or                  | Pro-Rata 2018/19                 | Budget Q3                      |                         |                             | n Full Year                |                               |                         | Govern                     |                       |
|        |  |                       |           |                           |                               |                           |                                  |                         |                           |                          |                                |                         |                            | 2010/19                   |                                |                         |                           |                                  |                                |                         | ы                           | ıdget 2018/                | 19                            |                         | Finance<br>Manageme        | ent Report            |
|        |  | Total<br>Number of    |           | Failure to<br>make        | Operating<br>Deficit > 5% of  | Negative /<br>Outstanding | Any other<br>material            | s138<br>Trigger         | Failure to ma<br>operatin | expenditure              | ayment > 2% of<br>(s140(2)(c)) | s140<br>Trigger         | Failure to ma<br>operating | expenditure (             | ayment > 2% of<br>(s140(2)(c)) | s140<br>Trigger         | Failure to ma<br>operatin | ke any other pa<br>g expenditure | yment > 40% of<br> s140(2)(c)) | Severe s140             | Failure to mak<br>operating | expenditure (st            | ment > 40% of<br>140(2)(c))   | Severe s140<br>Trigger  | Financial<br>Distress 2018 | Financial<br>Distress |
|        | LEGEND   | Triggers per          |           | payments as 8<br>when due | total direct revenue          | Audit (s138(e)<br>& (f))  | reason that<br>makes it likely   | index                   |                           | March 2019               |                                | Trigger index           |                            | March 2019                |                                | index                   |                           | March 2019                       |                                | Trigger index           |                             | March 2019                 |                               | index                   |                            | 2018 Trigger<br>index |
|        | No Triggers                                      | Based on<br>Full Year |           | (s138(a))<br>(90 days >   | (s138(d))                     |                           | not to be able<br>to meet        | (1 or more<br>Criteria) |                           |                          |                                | (1 or more<br>Criteria) |                            |                           |                                | (1 or more<br>Criteria) |                           |                                  |                                | (1 or more<br>Criteria) |                             |                            |                               | (1 or more<br>Criteria) |                            |                       |
|        | 1 Trigger  | Adj Budget            |           | R1m)                      |                               |                           | obligations for<br>financial     | Criteria)               |                           |                          |                                | Criteria)               |                            |                           |                                | Criteria)               |                           |                                  |                                | Criteria)               |                             |                            |                               | Criteria)               |                            |                       |
|        | 2 Triggers                                       |                       |           | March 2019                | March 2019                    | FY 2017/18                | reason<br>(s138(h))              |                         |                           |                          |                                |                         |                            |                           |                                |                         |                           |                                  |                                |                         |                             |                            |                               |                         |                            |                       |
|        | 3 & 4 Triggers                                   |                       |           |                           |                               |                           | 2017/18 Actual<br>2018/19 Actual |                         |                           |                          |                                |                         |                            |                           |                                |                         |                           |                                  |                                |                         |                             |                            |                               |                         |                            |                       |
|        |  | 80.5%                 |           |                           | Q3 Revenue &<br>Deficit based |                           | Negative Cash<br>Position - Two  | 76.3%                   | ESKOM<br>> 2% Opex        | Water Board<br>> 2% Opex | Total creditors<br>> 2% Opex   | 47.1%                   | ESKOM<br>> 2% Opex         | Water Boards<br>> 2% Opex | Total creditors<br>> 2% Opex   | 44.4%                   | ESKOM<br>> 40% Opex       | Water Boards<br>> 40% Opex       | Total creditors<br>> 40% Opex  | 19.1%                   | ESKOM<br>> 40% Opex         | Water Boards<br>> 40% Opex | Total creditors<br>> 40% opex | 15.6%                   |                            | 48.6%                 |
|        |  |                       |           |                           | on<br>YTD Billing &           |                           | consecutive<br>years (Cash/ &    |                         |                           |                          |                                |                         |                            |                           |                                |                         |                           |                                  |                                |                         |                             |                            |                               |                         |                            |                       |
|        |  |                       |           |                           | Expenditure                   |                           | equivalents at<br>year end)      |                         |                           |                          |                                |                         |                            |                           |                                |                         |                           |                                  |                                |                         |                             |                            |                               |                         |                            |                       |
| Cat    | Code Name  | 207                   |           | 160                       | 29                            | 138                       | 7                                | 196                     | 79                        | 59                       | 87                             | 121                     | 78                         | 56                        | 82                             | 114                     | 32                        | 15                               | 38                             | 49                      | 25                          | 9                          | 34                            | 40                      | 125                        | 125                   |
| В      | GT422 Midvaal                                    | -                     | M B       |                           |                               | -                         |                                  |                         | -                         | -                        | -                              | -                       | -                          | -                         | -                              |                         |                           | -                                |                                |                         |                             | -                          |                               |                         | 120                        |                       |
| B      | GT423 Lesedi<br>DC42 Sedibeng                    | 1                     | M B       |                           |                               | -                         |                                  |                         | NO FUNCTION               | -                        | TRUE                           |                         | -<br>NO FUNCTION           | -                         | -<br>TRUE                      | 1                       | NO FUNCTION               | -                                | TRUE                           | - 1                     | NO FUNCTION                 | -                          | :                             | -                       | TRUE                       | 1 1                   |
| В      | GT481 Mogale City GT484 Merafong City            | 1                     | H<br>H Br | -                         | -                             | -                         | -                                | -                       | TRUE                      | -                        | TRUE                           | -                       | -<br>TRUE                  | -                         | TRUE                           |                         | -                         | -                                | -                              | -                       | -                           | -                          | -                             | -                       | TRUE                       | 1                     |
| В      | GT485 Rand West City                             | 3                     | H B       | TRUE                      |                               | -                         |                                  | 1                       | TRUE                      |                          | TRUE                           | 1                       | TRUE                       |                           | TRUE                           | 1                       | -                         |                                  |                                |                         |                             | -                          |                               | -                       | TRUE                       | 1                     |
| С      | DC48 West Rand<br>KWA-ZULU NATAL                 | 3                     | M C       | TRUE                      | •                             | •                         | •                                | 1                       | NO FUNCTION               | •                        | TRUE                           | 1                       | NO FUNCTION                | -                         | TRUE                           | 1                       | NO FUNCTION               | -                                | •                              | -                       | NO FUNCTION                 | -                          | -                             | -                       | TRUE                       | 1                     |
| A<br>B | ETH eThekwini<br>KZN212 Umdoni                   | 3                     | H A       | TRUE                      |                               | -                         |                                  | . 1                     | :                         |                          | TRUE                           | . 1                     | :                          |                           | TRUE                           | . 1                     |                           | -                                |                                | -                       | -                           | -                          |                               | -                       | TRUE                       | . 1                   |
| ВВ     | KZN213 Umzumbe<br>KZN214 uMuziwabantu            |                       | L B       |                           | -                             | -                         | -                                | -                       | -                         | -                        | NO REPORTIN                    | -                       | -                          | -                         | NO REPORTING                   | -                       | -                         | -                                | O REPORTING                    | -                       | -                           | -                          | NO REPORTING                  | -                       | TRUE                       |                       |
| В      | KZN216 Ray Nkonyeni                              |                       | H B       | 1 -                       |                               | -                         |                                  | į.                      | -                         | :                        |                                | - :                     | - 1                        | - :                       | - :                            | - :                     |                           | - :                              | - :                            | -                       |                             |                            | -                             | -                       |                            | - 1                   |
| C<br>B | DC21 Ugu<br>KZN221 uMshwathi                     | 1                     | H C       |                           |                               | TRUE -                    |                                  | . 1                     | -                         | TRUE -                   | -                              | . 1                     | :                          | TRUE -                    | -                              | 1                       | -                         | -                                |                                | -                       | -                           | -                          |                               | -                       | TRUE<br>TRUE               | 1                     |
| B<br>B | KZN222 uMngeni<br>KZN223 Mpofana                 | . 3                   | M B       |                           | -<br>TRUE                     | TRUF                      | -<br>TRUE                        |                         | -<br>TRUE                 |                          | NO REPORTIN                    | - ·                     | -<br>TRUE                  | -                         | NO REPORTING                   | - 1                     | -<br>TRUE                 | -                                | O REPORTING<br>TRUE            |                         | TRUE                        | - 1                        | NO REPORTING<br>TRUE          |                         |                            | -                     |
| В      | KZN224 Impendle<br>KZN225 Msunduzi               | 1                     | L B       | 3 -                       | -                             | TRUE<br>TRUE              | -                                | į                       | -                         | -                        | NO REPORTIN                    |                         | -                          | -                         | NO REPORTING                   |                         | -                         | -                                | O REPORTING                    | -                       | -                           |                            | NO REPORTING                  | -                       | TRUE                       | -                     |
| В      | KZN226 Mkhambathini                              |                       | M B       |                           | -                             | -                         | -                                | - 1                     | -                         | :                        | NO REPORTIN                    | -                       | -                          | -                         | -                              |                         |                           | - :                              |                                | -                       |                             | -                          | -                             |                         | IKUE                       | - 1                   |
| B      | KZN227 Richmond<br>DC22 uMgungundlovu            | 1                     | L B       |                           | TRUE -                        | TRUE                      | TRUE -                           | 1                       | -                         | :                        | NO REPORTIN                    |                         | -                          | -                         | NO REPORTING                   | -                       | -                         | -                                | O REPORTING                    | -                       | -                           |                            | NO REPORTING                  | -                       |                            | -                     |
| B<br>B | KZN235 Okhahlamba<br>KZN237 Inkosi Langalibalele | 3                     | L<br>M    | -<br>TRUE                 | -                             | TRUE                      | -                                |                         | -<br>TRUE                 | TRUE                     | TRUE                           | - 1                     | -<br>TRUE                  | TRUE                      | -<br>TRUE                      | - 1                     |                           | -                                | -                              | -                       | -                           | -                          | -                             | -                       | TRUE                       |                       |
| B      | KZN238 Alfred Duma                               | 1                     | H B       |                           |                               | TRUE                      |                                  | 1                       | -                         | -                        | -                              | -                       |                            | -                         | -                              | -                       | -                         | -                                | -                              | -                       | -                           | -                          |                               | -                       | TRUE                       |                       |
| В      | KZN241 Endumeni                                  | -                     | M B       | 3 -                       |                               | TRUE -                    |                                  | - 1                     | -                         |                          | TRUE<br>-                      | - 1                     | -                          |                           | TRUE -                         | - 1                     |                           | -                                | :                              | -                       | -                           | -                          | ÷                             | -                       | TRUE                       | - 1                   |
| B<br>B | KZN242 Nquthu<br>KZN244 Msinga                   | -                     | L B       | 3 -<br>1 -                |                               | -                         |                                  | -                       | -                         | :                        | NO REPORTIN                    | -<br>-                  | -                          | -                         | NO REPORTING                   | g -                     | -                         | -                                | O REPORTING O REPORTING        | -                       | -                           | -                          | NO REPORTING                  |                         |                            | -                     |
| B      | KZN245 Umvoti<br>DC24 Umzinyathi                 | 3                     | M B       |                           |                               | TRUE                      |                                  | 1                       | -                         | TRUE                     | TRUE<br>TRUE                   | 1                       | :                          | TRUE                      | TRUE<br>TRUE                   | 1                       |                           |                                  |                                |                         | -                           | - '                        |                               | -                       | TRUE<br>TRUE               | 1                     |
| В      | KZN252 Newcastle<br>KZN253 Emadlangeni           | 2                     | H Br      | TRUE                      | -                             | -                         | -                                | 1                       | TRUE                      | TRUE                     | TRUE                           | i                       | TRUE                       | -                         | TRUE                           | 1                       | -                         | -                                | -                              | -                       | -                           | -                          | -                             | -                       | 11102                      | - '                   |
| В      | KZN254 Dannhauser                                | 1                     | L B       |                           |                               | TRUE                      |                                  | 1                       |                           | :                        | -                              | - 1                     |                            | :                         | -                              |                         |                           | -                                | :                              | -                       |                             | -                          |                               | :                       |                            |                       |
| C<br>B | DC25 Amajuba<br>KZN261 eDumbe                    | 3                     | L C       | TRUE<br>TRUE              | -                             | TRUE -                    | -                                | 1                       | -                         | :                        | TRUE<br>TRUE                   | 1 1                     | :                          | -                         | TRUE                           | 1                       |                           | -                                | -                              | -                       | -                           | -                          | -                             | -                       | TRUE                       | 1                     |
| B<br>B | KZN262 uPhongolo<br>KZN263 Abaqulusi             | 2                     | L B       |                           | -<br>TRUE                     | TRUE                      | -                                | 1                       | -<br>TRUE                 | TRUE                     | -                              | - 1                     | -<br>TRUE                  | TRUE                      | -                              | - 1                     |                           | -                                | -                              | -                       | -                           | -                          | -                             | -                       | TRUE                       | 1 1                   |
| В      | KZN265 Nongoma<br>KZN266 Ulundi                  | 2                     | L B4      |                           | TRUE                          | TRUE                      |                                  | į                       | TRUE                      | -                        | TRUE                           |                         | TRUE                       | -                         | TRUE                           |                         | -                         | -                                | -                              | -                       |                             | -                          |                               | -                       | TRUE                       | 1                     |
| С      | DC26 Zululand                                    | 3                     | M C       |                           | :                             | TRUE                      |                                  | i                       | -                         | TRUE                     | -                              | 1                       | -                          | TRUE                      | -                              | 1                       | -                         |                                  |                                |                         |                             | -                          |                               | -                       | TRUE                       | 1                     |
| B<br>B | KZN271 Umhlabuyalingana<br>KZN272 Jozini         | 1                     | M<br>L B  | -                         |                               | -                         |                                  |                         | -                         | -                        | -                              | -                       | -                          |                           | -                              | -                       | -                         |                                  |                                | -                       | -                           | -                          |                               | -                       | TRUE                       | 1                     |
| B<br>B | KZN275 Mtubatuba<br>KZN276 Hlabisa Big Five      | 2 2                   | L B4      |                           |                               | TRUE                      |                                  | 1                       | -                         |                          | TRUE                           |                         | :                          |                           | -                              | -                       | -                         | -                                |                                | -                       | -                           | -                          |                               | -                       | TRUE                       | 1                     |
| C<br>B | DC27 Umkhanyakude<br>KZN281 Mfolozi              | 3                     | M C       | TRUE                      |                               | TRUE                      |                                  | 1                       | -                         | TRUE                     | TRUE                           | 1                       | -                          | TRUE                      | TRUE                           | - 1                     | -                         | -                                | -                              | -                       | -                           | -                          |                               | -                       | TRUE                       | 1                     |
| В      | KZN282 uMhlathuze                                | 2                     | н в       | TRUE                      |                               | -                         |                                  | i                       | - :                       | TRUE                     | TRUE -                         | 1                       | ÷                          | TRUE                      |                                | 1                       | į.                        |                                  |                                |                         |                             | -                          |                               | -                       | IKUE                       | - '                   |
| B<br>B | KZN284 uMlalazi<br>KZN285 Mthonjaneni            | 2                     | L B4      |                           | TRUE                          | -                         |                                  | 1                       | TRUE                      | -                        | -                              | 1                       | ÷                          |                           | -                              | -                       | -                         |                                  |                                | -                       | -                           | -                          |                               | -                       | TRUE                       | 1                     |
| B      | KZN286 Nkandla<br>DC28 King Cetshwayo            | 1 2                   | M B       |                           | TRUE                          | -<br>TRUE                 | -                                | 1                       | -                         | TRUE                     | -<br>TRUE                      | - 1                     |                            | TRUE                      | -<br>TRUE                      | - 1                     |                           | -                                | -                              | -                       | -                           | -                          | -                             | -                       |                            | -                     |
| В      | KZN291 Mandeni<br>KZN292 KwaDukuza               | -                     | L B       | 2 -                       |                               | -                         |                                  |                         | -                         | -                        | -                              | -                       |                            | -                         | -                              | - '                     | -                         |                                  |                                | -                       | -                           | -                          |                               | -                       |                            | -                     |
| В      | KZN293 Ndwedwe                                   | -                     | L B       |                           |                               | :                         |                                  |                         | -                         | :                        |                                |                         | -                          |                           |                                | -                       | -                         | :                                |                                | -                       |                             | -                          |                               | -                       |                            | -                     |
| B<br>C | KZN294 Maphumulo<br>DC29 iLembe                  | 3                     | M B       |                           | -                             | -                         | -                                | 1                       | -                         | TRUE                     | -                              | _1                      | 1                          | TRUE                      | -                              | 1                       |                           | -                                | -                              | -                       | -                           |                            |                               | -                       | TRUE                       | 1                     |
| B<br>B | KZN433 Greater Kokstad<br>KZN434 Ubuhlebezwe     | -1                    | L<br>L B  | . :                       |                               | -<br>TRUE                 |                                  | 1                       | :                         | -                        | -                              |                         |                            |                           |                                | -                       | 1 :                       | -                                |                                | :                       | 1 :                         |                            |                               | -                       |                            | -                     |
| B<br>B | KZN435 Umzimkhulu<br>KZN436 Zuma                 |                       | M B4      |                           | -                             | -                         | -                                | -                       | -                         | -                        | NO DEPOSE                      | _                       | -                          | -                         | NO REPORTING                   |                         | -                         |                                  | O REPORTING                    | -                       | -                           | -                          |                               | -                       | TOUE                       |                       |
| С      | DC43 Harry Gwala                                 | 2                     | M B       |                           | TRUE                          | TRUE                      | -                                | 1                       |                           |                          | NO REPORTIN                    |                         |                            |                           | HO REPORTING                   | <u> </u>                |                           |                                  | O REPORTING                    |                         |                             | -                          | NO REPORTING<br>-             | -                       | TRUE<br>TRUE               | 1                     |
| В      | LIMPOPO<br>LIM331 Greater Giyani                 | 2                     | L B       |                           | -                             | TRUE                      | -                                | 1                       | -                         | -                        | NO REPORTIN                    | -                       | -                          | -                         | NO REPORTING                   | -                       | -                         | -                                | O REPORTING                    |                         |                             | -                          | NO REPORTING                  | -                       | TRUE                       | 1                     |
| B<br>B | LIM332 Greater Letaba<br>LIM333 Greater Tzaneen  | 2                     | L B4      |                           | -                             | -<br>TRUE                 | -                                |                         | -                         | -                        | -                              |                         | -                          | -                         | -                              | -                       | ] :                       | -                                | -                              | -                       | :                           | -                          | -                             |                         | TRUE                       | . 1                   |
| ВВ     | LIM334 Ba-Phalaborwa<br>LIM335 Maruleng          | 2                     | M B4      |                           | -                             | TRUE                      | -                                | 1                       | -                         | TRUE                     | -                              | 1                       | -                          | TRUE                      | -                              | 1                       |                           | -                                | -                              | -                       | -                           | -                          | -                             | -                       |                            | -                     |
|        | DC33 Mopani                                      | 4                     | L C       | TRUE                      |                               | TRUE                      |                                  | 1                       | :                         | TRUE                     | NO REPORTIN                    | 1                       | 1                          | TRUE                      | NO REPORTING                   | 1                       | 1 :                       | TRUE                             | O REPORTING                    | 1                       | 1                           | TRUE                       | NO REPORTING                  | 1                       | TRUE                       | 1                     |

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| MUNICIPALITIES MEETI  | Total  | Failure to   | MFMA s1   | 38 Triggers                                       | ;   | 0%  | MFMA s1<br>Pro-Rata                                 | 40 Trigger:<br>a Budget Q                         | s based on<br>3 2018/19                             | s140 OF  | MFMA s1<br>Full Ye                        | 40 Triggers<br>ar Adjusted<br>2018/19            | s Based on<br>d Budget                                    | s140  | based or  | 2018/19   |   | ·                                   | Based o                               | 40 Triggers<br>n Full Year<br>udget 2018           | Adjusted<br>/19                             | Severe s140                        | Gover                                | e & Fin                       |
|---|--|--|---|---|---|---|---|---|---|--|---|--|---|---|---|---|---|-------------------------------------|---------------------------------------|--|---|------------------------------------|--------------------------------------|-------------------------------|
| LEGEND No Triggers I Trigger P Triggers 1 & 4 Triggers  | Number of<br>Triggers per<br>Municipality<br>Based on<br>Full Year<br>Adj Budget | payments as<br>when due<br>(s138(a))<br>(90 days :<br>R1m)       | Deficit > 5% of s & total direct revenue (s138(d)) >                | Audit (s138(e<br>& (f))                           | neason that<br>makes it likely<br>not to be able<br>to meet<br>obligations for<br>financial     | Trigger<br>index<br>(1 or more<br>Criteria) | operatin  | ike any other p:<br>g expenditure (<br>March 2019 | ayment > 2% of<br>s140(2)(c))                       | Trigger<br>index<br>(1 or more<br>Criteria)    | operatin                                  | ike any other p<br>g expenditure (<br>March 2019 | ayment > 2% of<br>(s140(2)(c))                            | Trigger<br>index<br>(1 or more<br>Criteria) | Pailure to ma<br>operatin                                   | ke any other ps<br>gg expenditure<br>March 2019 | ayment > 40% of<br>(s140(2)(c))                     | Trigger index  (1 or more Criteria) | Pailure to mai                        | ke any other pay<br>g expenditure (t<br>March 2019 | yment > 40% of<br>s140(2)(c))               | Trigger index (1 or more Criteria) | Pinancial<br>Distress 2018           | Distret<br>2018 Trig<br>index |
|   | 80.5%  |  | Q3 Revenue &<br>Deficit based<br>on<br>YTD Billing &<br>Expenditure |   | Negative Cash<br>Position - Two<br>consecutive<br>years (Cash/ &<br>equivalents at<br>year end) | 76.3%                                       | ESKOM<br>> 2% Opex                                  | Water Boards<br>> 2% Opex                         | Total creditor<br>> 2% Opex                         | s 47.1%  | ESKOM<br>> 2% Opex                        | Water Boards<br>> 2% Opex                        | > Total creditors<br>> 2% Opex                            | 44.4%                                       | ESKOM<br>> 40% Opex   | Water Boards<br>> 40% Opex                      | s Total creditors<br>> 40% Opex                     | 19.1%                               | ESKOM<br>> 40% Opex                   | Water Boards<br>> 40% Opex                         | Total creditors<br>> 40% opex               | 15.6%                              |                                      | 48.69                         |
| Code         Name           IM341         Musina           IM343         Thulamela           IM344         Makhado           IM345         Collins Chabane           C34         Viembe | 207<br>4 L<br>1 M<br>3 M<br>1 M  | 160 TRUE B3 TRUE B4 TRUE B4 - C2 TRUE                            | 29<br>-<br>-<br>-<br>-<br>-<br>TRUE                                 | 138 TRUE - TRUE TRUE TRUE                         | 7<br>-<br>-<br>-  | 196<br>1<br>1<br>1                          | 79<br>TRUE<br>-<br>-                                | 59<br>-<br>TRUE<br>-<br>TRUE                      | 87<br>TRUE<br>-<br>-<br>-<br>-<br>TRUE              | 121  | 78<br>TRUE<br>-<br>-<br>-                 | 56<br>-<br>TRUE<br>-<br>TRUE                     | 82 TRUE TRUE TRUE   | 114   | 32<br>-<br>-<br>-<br>-                                      | 15<br>-<br>-<br>-<br>-<br>TRUE                  | 38<br>TRUE<br>-<br>-                                | 49                                  | 25                                    | 9<br>-<br>-<br>-<br>-<br>-<br>TRUE                 | 34<br>TRUE<br>-<br>-<br>-                   | 1                                  | 125<br>TRUE<br>TRUE                  | 125                           |
| IM351 Blouberg IM353 Molemole IM354 Polokwane IM355 Lepelle-Nkumpi C35 Capricorn IM361 Thabazimbi   | 1 L<br>1 L<br>2 H<br>3 L<br>2 M  | B1 -<br>B4 -<br>B4 TRUE<br>B4 TRUE<br>C2 TRUE<br>TRUE            | TRUE  | TRUE<br>-<br>TRUE<br>TRUE<br>-<br>TRUE            |   |   | -<br>-<br>-<br>-<br>-<br>TRUE                       | -<br>-<br>-<br>-<br>-<br>TRUE                     | NO REPORTIN  TRUE TRUE NO REPORTIN                  | 1G   | TRUE                                      | -<br>-<br>-<br>-<br>-<br>TRUE                    | NO REPORTING  TRUE TRUE NO REPORTING                      | 1 1   | -<br>-<br>-<br>-<br>-<br>TRUE                               | -<br>-<br>-<br>-                                | O REPORTING  O REPORTING                            | 1                                   | -<br>-<br>-<br>-<br>-                 |  | NO REPORTING                                |                                    | TRUE<br>TRUE<br>TRUE                 |                               |
| M362  | 3 M<br>2 M<br>1 L<br>4 M<br>1 L<br>1 L   | B2 TRUE<br>B3 TRUE<br>B3 -<br>B3 TRUE<br>C1 -<br>TRUE<br>B4 TRUE | TRUE  | TRUE<br>TRUE<br>TRUE<br>TRUE<br>TRUE<br>-         |   |   | TRUE - TRUE TRUE NO FUNCTION                        |   | TRUE NO REPORTIN TRUE NO REPORTIN NO REPORTIN       | 1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1<br>1 | TRUE  TRUE  TRUE  NO FUNCTION  -          | TRUE   | TRUE NO REPORTING TRUE NO REPORTING NO REPORTING          | 1<br>1<br>2<br>-<br>1                       | TRUE<br>NO FUNCTION   | -<br>-<br>-<br>-<br>-                           | O REPORTING TRUE O REPORTING O REPORTING            | 1                                   | TRUE<br>NO FUNCTION                   |  | NO REPORTING TRUE NO REPORTING NO REPORTING | 1                                  | TRUE<br>TRUE<br>TRUE                 |                               |
| IM473 Makhuduthamaga IM476 Tubatse Fetakgomo CC47 Sekhukhune IPUMALANGA IP301 Albert Luthuli IP302 Msukaligwa IP303 Mkhondo   | 2 L<br>1 L<br>1 H  | B4 TRUE B4 TRUE C2 TRUE B1 TRUE B2 TRUE B3 TRUE                  | TRUE  | TRUE<br>TRUE<br>-<br>-<br>TRUE<br>TRUE            | TRUE  | 1 1   | TRUE<br>TRUE<br>TRUE<br>TRUE                        | TRUE TRUE TRUE                                    | NO REPORTIN<br>TRUE                                 | 1<br>-<br>-<br>46 1<br>1                       | TRUE<br>TRUE<br>TRUE<br>TRUE              | TRUE   | NO REPORTING TRUE TRUE                                    | 1   | -<br>-<br>-<br>-  | TRUE  | O REPORTING TRUE                                    | 1                                   | -                                     | TRUE   | NO REPORTING                                |                                    | TRUE<br>TRUE<br>TRUE                 |                               |
| P304 Pixley Ka Seme (MP) P305 Lekwa P306 Dipaleseng P307 Govan Mbeki P300 Gert Sibande P311 Victor Khanye   | 2 M<br>4 L<br>3 L<br>4 H<br>- M  | B3 TRUE B3 TRUE B3 TRUE B4 TRUE C1 - B1 TRUE                     | TRUE  | TRUE<br>TRUE<br>TRUE<br>-<br>TRUE                 |   | 1 1 1                                       | TRUE<br>TRUE<br>TRUE<br>NO FUNCTION<br>TRUE         | TRUE<br>TRUE<br>TRUE<br>TRUE<br>TRUE              | TRUE<br>TRUE<br>TRUE<br>-<br>TRUE                   | 1  | TRUE TRUE TRUE TRUE NO FUNCTION TRUE      | TRUE<br>TRUE<br>TRUE<br>TRUE<br>-                | TRUE<br>TRUE<br>TRUE<br>-<br>TRUE                         | 1 1 1                                       | TRUE<br>TRUE<br>TRUE<br>NO FUNCTION                         | TRUE<br>TRUE                                    | TRUE<br>TRUE<br>TRUE                                | 1 1 1                               | TRUE<br>TRUE<br>TRUE<br>NO FUNCTION   | TRUE   | TRUE TRUE TRUE TRUE TRUE                    | 1                                  | TRUE<br>TRUE<br>TRUE                 |                               |
| 312 Emalahleni (MP) 313 Steve Tshwete 314 Emakhazeni 315 Thembisile Hani 316 Dr J.S. Moroka 311 Nkangala 321 Thaba Chweu  | 3 H<br>1 H<br>3 L<br>1 L<br>2 L<br>- H   | B1 TRUE<br>B2 TRUE<br>B3 TRUE<br>B4 -<br>C1 -<br>TRUE            | TRUE  | TRUE - TRUE TRUE TRUE - TRUE                      |   | 1 1 1 1                                     | TRUE - TRUE TRUE                                    | TRUE  | TRUE<br>-<br>TRUE<br>-<br>-<br>-<br>-<br>TRUE       | 1  | TRUE - TRUE TRUE                          | TRUE   | TRUE - TRUE TRUE TRUE                                     | 1   | TRUE<br>-<br>-<br>-<br>-<br>-<br>TRUE                       | -   | TRUE  | 1                                   | TRUE<br>-<br>-<br>-<br>-<br>-<br>TRUE |  | TRUE<br>-<br>-<br>-<br>-<br>-<br>TRUE       | 1                                  | TRUE<br>TRUE<br>TRUE                 |                               |
| 324 Nkomazi<br>325 Bushbuckridge<br>326 City of Mbombela<br>32 Ehlanzeni<br>RTHERN CAPE<br>451 Joe Morolong   | 1 M<br>2 L<br>2 H<br>1 H   | B3 TRUE<br>B4 TRUE<br>B4 TRUE<br>C1 TRUE<br>B1 -                 | :<br>:<br>:   | TRUE TRUE   |   | 1 1   | TRUE<br>NO FUNCTION                                 | TRUE<br>TRUE                                      | TRUE<br>TRUE<br>-<br>NO REPORTIN                    | 1 1  | TRUE<br>NO FUNCTION                       | TRUE<br>TRUE                                     | TRUE TRUE -   | 1 1   | NO FUNCTION   | -   | O REPORTING   | -<br>-<br>-<br>-                    | NO FUNCTION                           | -  | NO REPORTING                                |                                    | TRUE                                 |                               |
| 452 Ga-Segonyana<br>445 Gamagara<br>45 John Taolo Gaetsewe<br>061 Richtersveld<br>062 Nama Khoi<br>064 Kamiesberg<br>065 Hantam   | 2 M<br>2 M<br>2 M<br>3 M<br>4 M<br>3 L<br>3 L                                    | B1 TRUE B3 TRUE B4 TRUE B4 TRUE C1 TRUE B2 TRUE B3 TRUE          | -<br>-<br>-<br>-<br>-<br>-<br>TRUE                                  | TRUE<br>TRUE<br>-<br>TRUE<br>TRUE<br>TRUE<br>TRUE |   |   | TRUE<br>TRUE<br>NO FUNCTION<br>TRUE<br>TRUE<br>TRUE | TRUE -  | TRUE NO REPORTIN TRUE TRUE TRUE TRUE TRUE TRUE TRUE | 1<br>1<br>1<br>1<br>1                          | TRUE TRUE NO FUNCTION TRUE TRUE TRUE TRUE | TRUE -   | TRUE NO REPORTING TRUE TRUE TRUE TRUE TRUE TRUE TRUE TRUE | 1<br>1<br>1<br>1<br>1                       | NO FUNCTION<br>-<br>-<br>-                                  | TRUE  | O REPORTING   | 1                                   | NO FUNCTION                           |  | NO REPORTING  TRUE                          | -<br>-<br>-<br>-<br>1              | TRUE<br>TRUE<br>TRUE<br>TRUE         |                               |
| 166 Karoo Hoogland 167 Khai-Ma 5 Namakwa 171 Ubuntu 172 Umsobomvu 173 Emthanjeni 174 Kareebero  | 3 M<br>4 L<br>1 M<br>3 M<br>2 L<br>2 M   | B3 TRUE B3 TRUE B4 - C2 TRUE B3 TRUE B3 TRUE B3 TRUE B3 TRUE     |   | TRUE<br>TRUE                                      |   | 1<br>1<br>-<br>1<br>1                       | TRUE NO FUNCTION TRUE - TRUE                        | TRUE  | TRUE TRUE - TRUE NO REPORTIN TRUE                   | 1<br>1<br>1<br>16<br>1                         | TRUE NO FUNCTION TRUE - TRUE              | TRUE   | TRUE TRUE - TRUE NO REPORTING TRUE TRUE                   | 1 1   | NO FUNCTION   | -<br>-<br>-<br>-                                | TRUE TRUE TRUE O REPORTING TRUE                     | 1                                   | OFUNCTION                             |  | TRUE  NO REPORTING                          | 1<br>                              | TRUE<br>TRUE<br>TRUE<br>TRUE<br>TRUE |                               |
| 174 Kareeberg 175 Renosterberg 176 Thembelihle 177 Siyathemba 178 Siyancuma 179 Pixley Ka Seme (NC) 182 Kall Garib  | 3 M<br>4 L<br>3 M<br>4 M   | B3 TRUE B4 TRUE B4 TRUE C2 TRUE TRUE B1 - B3 TRUE                |   | TRUE<br>TRUE<br>TRUE<br>-<br>-<br>-<br>TRUE       | -<br>-<br>-<br>TRUE<br>-<br>-   | 1 1 1                                       | TRUE TRUE TRUE TRUE TRUE NO FUNCTION TRUE           | TRUE - TRUE - TRUE                                | TRUE TRUE TRUE TRUE TRUE TRUE                       | 1        | TRUE TRUE TRUE TRUE TRUE NO FUNCTION TRUE | TRUE - TRUE - TRUE                               | TRUE TRUE TRUE TRUE TRUE TRUE - NO REPORTING              | 1 1 1 1 1                                   | TRUE<br>TRUE<br>TRUE<br>TRUE<br>TRUE<br>NO FUNCTION<br>TRUE | -   | TRUE<br>TRUE<br>TRUE<br>TRUE<br>TRUE<br>O REPORTING | 1 1 1                               | TRUE TRUE - TRUE NO FUNCTION TRUE     |  | TRUE TRUE TRUE TRUE TRUE TRUE NO REPORTING  | 1 1 1 1                            | TRUE<br>TRUE<br>TRUE                 |                               |
| 1084 IKheis<br>1085 Tsantsabane<br>1086 Kgatelopele<br>1087 Dawid Kruiper<br>18 Z F Mgcawu  | 3 L<br>3 L<br>2 L<br>1 M   | C1 TRUE<br>B3 TRUE<br>B3 TRUE<br>B4 TRUE<br>C1 -                 | -<br>-<br>-<br>-  | TRUE<br>TRUE<br>TRUE                              | -<br>-<br>-<br>-  | 1 1 1                                       | TRUE<br>TRUE<br>-<br>NO FUNCTION                    | TRUE -  | TRUE NO REPORTIN NO REPORTIN                        | 1<br>1<br>16 1                                 | TRUE<br>TRUE<br>-<br>NO FUNCTION          | TRUE   | TRUE NO REPORTING NO REPORTING                            | 1 1   | TRUE<br>-<br>-<br>NO FUNCTION                               | -<br>-<br>-<br>-                                | O REPORTING<br>O REPORTING                          | 1                                   | TRUE NO FUNCTION                      |  | NO REPORTING                                | 1                                  | TRUE                                 |                               |

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| MUNICIPALITIES N  | MEETING CR  | TERIA FO                                       | OR DETERI  | MINING SE   | RIOUS FII   | NANCIAL P  | ROBLEM  | IS IN TERM                                  | S OF SE   | CTION 138                      | <u>&amp; 140 OF</u>                                 | THE MFM                                     | A - Q3 201  | 8/19                           |   |  |  |                      |  |                       |  |                                    |  |   |  |
|---|---|--|--|---|---|--|---|---|---|--------------------------------|---|---|---|--------------------------------|---|--|--|----------------------|--|-----------------------|--|------------------------------------|--|---|--|
|   |   |  |  |   | 38 Triggers   |  |   |   | Budget Q  | 3 2018/19                      |   | Full Ye                                     | 40 Triggers<br>ar Adjusted<br>2018/19             | l Budget                       |   | based on                                 | 0 Triggers (SE\<br>Pro-Rata Budge<br>2018/19                 | et Q3                |  | Based on<br>Bud       | ) Triggers (S<br>Full Year Ac<br>dget 2018/19      | djusted<br>9                       |  | State of<br>Govern<br>Finance<br>Manageme | nment<br>e & Fin                               |
| LEGEND No Triggers Trigger Triggers 8 4 Triggers  | Total Number of Triggers p Municipal Based or Full Yea Adj Budg | f<br>er<br>ty                                  | Failure to<br>make<br>payments as &<br>when due<br>(s138(a))<br>(90 days ><br>R1m)<br>March 2019 | revenue<br>(s138(d))  | Negative /<br>Outstanding<br>Audit (s138(e)<br>& (f)) | Any other material reason that makes it likely not to be able to meet obligations for financial reason (s138(h)) 2017/18 Actual 2018/19 Actual | s138<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to mai<br>operating                 | ke any other p<br>g expenditure (<br>March 2019 | ayment > 2% of<br>(s140(2)(c)) | s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to m<br>operatir                    | ske any other pa<br>g expenditure (<br>March 2019 | yment > 2% of<br>s140(2)(c))   | s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to mak<br>operating              | e any other payment :<br>expenditure (s140(2)(<br>March 2019 | (c))                 | evere s140<br>Trigger<br>index<br>1 or more<br>Criteria) | operating of          | any other payme<br>expenditure (s140<br>March 2019 | ent > 40% of<br>(0(2)(c))          | Severe s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Financial<br>Distress 2018                | Financial<br>Distress<br>2018 Trigger<br>index |
|   | 80.5%   |  |  | Q3 Revenue &<br>Deficit based<br>on<br>YTD Billing &<br>Expenditure |   | Negative Cash<br>Position - Two<br>consecutive<br>years (Cash/ &<br>equivalents at<br>year end)  | 76.3%   | ESKOM<br>> 2% Opex                          | Water Boards<br>> 2% Opex                       | Total creditors<br>> 2% Opex   | 47.1%   | ESKOM<br>> 2% Opex                          | Water Boards<br>> 2% Opex                         | Total creditors<br>> 2% Opex   | 44.4%   | ESKOM<br>> 40% Opex                      | Water Boards Total<br>> 40% Opex > 40                        | creditors<br>9% Opex | 19.1%  | ESKOM V<br>> 40% Opex | Water Boards To<br>> 40% Opex                      | otal creditors<br>> 40% opex       | 15.6%  |   | 48.6%  |
| Code Name   | 207   |  | 160  | 29  | 138   | 7  | 196   | 79  | 59  | 87                             | 121   | 78  | 56  | 82                             | 114   | 32                                       | 15   | 38                   | 49   | 25                    | 9  | 34                                 | 40   | 125                                       | 125  |
| C091 Sol Plaatje C092 Dikgatlong C093 Magareng C094 Phokwane C9 Frances Baard ORTH WEST |   | 2 H B3<br>4 L B3<br>4 L B3<br>2 M B3<br>- M B3 | TRUE TRUE TRUE   | -<br>-<br>-<br>-  | TRUE<br>TRUE<br>TRUE<br>TRUE                          | -<br>-<br>-<br>-   | 1<br>1<br>1   | TRUE<br>TRUE<br>TRUE<br>TRUE<br>NO FUNCTION | TRUE<br>TRUE                                    | NO REPORTING TRUE NO REPORTING | 1 1   | TRUE<br>TRUE<br>TRUE<br>TRUE<br>NO FUNCTION | TRUE<br>TRUE                                      | NO REPORTING TRUE NO REPORTING | 1 1 1   | TRUE<br>TRUE<br>TRUE<br>-<br>NO FUNCTION | TRUE O REF<br>-<br>- O REF<br>-                              | TRUE                 | 1  | TRUE NO FUNCTION      | -  | O REPORTING<br>TRUE<br>O REPORTING | 1 1  | TRUE<br>TRUE<br>TRUE                      | 1 1  |
| W371 Moretele   |   | 2 L B3   | TRUE   | TRUE  | TRUE<br>TRUE  | -  | 1   | -   |   | -<br>TRUE                      |   | -   |   |                                |   | -  | -  | -                    | -  | -                     | -  | :                                  | -  | TRUE                                      |  |
| W372 Madibeng<br>W373 Rustenburg  |   | 2 H B3   | TRUE   |   | TRUE  | -  | 1   | TRUE  | TRUE -  | NO REPORTING                   | - 1   | TRUE  | TRUE -  | TRUE<br>NO REPORTING           |   |  | - O REF  |                      | - 1  |                       |  | O REPORTING                        | -  | TRUE                                      |  |
| V374 Kgetlengrivier<br>V375 Moses Kotane  |   | 3 L B3<br>2 M B3                               | TRUE -   | TRUE -  | TRUE<br>TRUE  | -  | 1   | TRUE<br>-                                   | TRUE -  | NO REPORTING                   | - 1   | TRUE<br>-                                   | TRUE -  | NO REPORTING                   | - 1   | TRUE -                                   | - O REF  | PORTING -            | - 1  |                       | - NC   | O REPORTING<br>-                   | -  | TRUE<br>TRUE                              | 1  |
| 37 Bojanala Platinum<br>/381 Ratlou   | 1   | 1 H B3<br>1 L B3                               | TRUE -   | -   | TRUE<br>TRUE  | -  | 1   | NO FUNCTION                                 | :   | NO REPORTING                   | -   | NO FUNCTION                                 | -   | NO REPORTING                   | -   | NO FUNCTION                              | - O REF  | PORTING              | -  | NO FUNCTION           | -<br>- <mark>N</mark> C                            | O REPORTING                        | -  |   | -  |
| 382 Tswaing<br>383 Mafikeng   |   | 4 L B3<br>4 L B3                               | TRUE<br>TRUE   | -   | TRUE<br>TRUE  | -  | 1   | TRUE -                                      | TRUE  | TRUE<br>TRUE                   | 1   | TRUE -                                      | TRUE  | TRUE<br>TRUE                   |   | TRUE                                     | -<br>TRUE  | TRUE<br>TRUE         | 1  | -                     |  | TRUE<br>TRUE                       | 1  | TRUE<br>TRUE                              | 1  |
| 384 Ditsobotla<br>385 Ramotshere Moilos   | 19  | 3 L B3   | TRUE<br>TRUE   | -   | TRUE<br>TRUE  | -  | 1   | TRUE<br>TRUE                                | TRUE  | TRUE                           | 1   | TRUE<br>TRUE                                | TRUE  | TRUE                           |   | TRUE                                     | -  | TRUE                 | 1  | TRUE                  | -  | TRUE                               | 1  | TRUE                                      |  |
| 88 Ngaka Modiri Mole  |   | 2 L  | TRUE   | -   | TRUE  | -  | 1   | -   | TRUE  | -                              | 1   | -   | TRUE  | -                              |   |  | -  |                      |  |                       | -  |                                    | - 1  |   | '  |
| 392 Naledi (NW)<br>393 Mamusa   |   | 4 L B3<br>4 M B3                               | TRUE<br>TRUE   | -   | TRUE<br>TRUE  | TRUE   | 1   | TRUE<br>TRUE                                | -   | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE                                | -   | TRUE<br>TRUE                   | 1   | TRUE<br>TRUE                             | :  | TRUE<br>TRUE         | 1  | TRUE<br>-             |  | TRUE<br>TRUE                       | 1  | TRUE<br>TRUE                              | 1  |
| /394 Greater Taung<br>/396 Lekwa-Teemane  |   | 1 M B3   | TRUE   | -   | TRUE  | -  | 1   | TRUE  | TRUE  | NO REPORTING                   | 1   | TRUE  | TRUE  | NO REPORTING                   | 1   | -  | - <mark>O REF</mark><br>TRUE                                 | TRUE                 | 1  |                       | - NO<br>TRUE                                       | O REPORTING<br>TRUE                | 1  | TRUE                                      | 1  |
| V397 Kagisano-Molopo<br>C39 Mompati   |   | 1 L C1<br>1 M B1                               | -  | -<br>TRUE   | TRUE<br>TRUE  | -  | 1   | -   | -   | NO REPORTING                   | -   | -   | -   | NO REPORTING                   |   | -  | - O REF  | PORTING              | -  | -                     | -<br>- NC  | O REPORTING                        |  |   | -  |
| V403 City Of Matlosana<br>V404 Maquassi Hills   |   | 3 H B3<br>2 M B3                               | TRUE   |   | -   | -  | 1   | TRUE  | TRUE  | TRUE                           | 1   | TRUE  |   | TRUE                           | 1   | -  | -  | -                    | -  |                       |  | -                                  | -  | TRUE                                      | 1  |
| 405 J B Marks   |   | 2 H B3   | TRUE<br>TRUE   |   | TRUE<br>TRUE  | -  | 1   | TRUE<br>-                                   | TRUE  | -                              | - 1   | TRUE<br>-                                   | TRUE<br>TRUE                                      | NO REPORTING                   | 1   | -  | TRUE O REF   | -                    |  |                       |  | O REPORTING                        | - :  |   | - :  |
| Dr Kenneth Kaund<br>STERN CAPE  | ia  | 2 M C1   | -  | -   | TRUE  | •  | 1   | NO FUNCTION                                 | -   | NO REPORTING                   |   | NO FUNCTION                                 | -   | NO REPORTING                   | -   | NO FUNCTION                              | - O REF  | PORTING              | -  | NO FUNCTION           | - <mark>N</mark> C                                 | O REPORTING                        | -  | TRUE                                      | - 1  |
| Cape Town  1 Matzikama  |   | 1 H A<br>1 M B2                                | TRUE -   | -   | -   | -  | 1   | -   |   | -                              | -   | -   | -   | -                              | -   | -  |  | -                    | -  |                       |  |                                    | -  | TRUE                                      | 1  |
| Cederberg  Bergrivier   |   | 2 L B3   | TRUE   | -   | -   | -  | 1   | -   | -   | -                              | -   | -   | -   | -                              | -   | -  | -  | -                    |  | -                     | -  | -                                  | -  | TRUE                                      | - 1  |
| 4 Saldanha Bay  |   | - H B3   | -  |   | -   |  | -   |   | :   | -                              | ] :   | :   | - :   | - :                            | -   |  | :  | - [                  | -  |                       | :  | -                                  |  |   | ] :  |
| 15 Swartland<br>West Coast  |   | - M B3   | TRUE   | -   | -   | -  | 1   | -   | -   | -                              | -   | -   | -   | -                              | -   |  | -  | - [                  | :  | -                     | -  | -                                  |  |   | -  |
| 22 Witzenberg<br>23 Drakenstein   |   | 1 L B1   | 1 :  | TRUE  |   | -  | 1   |   | -   | -                              | - :   | :   | -   | -                              | -   | -  |  | :                    | :  | -                     | -  | -                                  |  |   | -  |
| 24 Stellenbosch   |   | - H B2   | -  |   |   |  |   | -   |   | -                              | :   |   | -   | -                              |   | -  |  | -                    | -  |                       | -  | -                                  |  |   | -  |
| 026 Langeberg   |   | - M B3   | :  | -   |   | -  | ] :   |   | - :   | -                              | ] :   | -   |   | -                              | -   |  |  | - [                  |  | -                     | -  | -                                  |  |   | ] :  |
| Cape Winelands DI<br>Theewaterskloof  | M   | - M C1<br>2 M B2                               | TRUE   | TRUE  |   | -  | 1   | NO FUNCTION                                 | -   |                                |   | NO FUNCTION                                 |   |                                | -   | NO FUNCTION                              |  |                      | -  | NO FUNCTION           | -  | -                                  | -  | TRUE                                      | 1  |
| 032 Overstrand  |   | - H B3   | -  | -   |   | -  | -   | -   | -   | -                              | -   | -   | -   | -                              | -   | -  | -  | -                    | -  | -                     | -  | -                                  | -  |   | -  |
| 34 Swellendam   |   | - L B3   |  | -   | -   | - :  |   | -   | -   | -                              |   | -   |   | -                              |   |  | Ξ.   | -                    | -  | -                     |  |                                    | -  |   | -  |
| Overberg<br>41 Kannaland  |   | - M C1<br>2 M B1                               | TRUE   | -   | -<br>TRUE   | -  | .1  | NO FUNCTION<br>TRUE                         | -   | TRUE                           | _ 1   | NO FUNCTION<br>TRUE                         | -   | TRUE                           | _1  | NO FUNCTION                              |  | - [                  |  | NO FUNCTION           | -  | -                                  | -  |   | :  |
| 42 Hessequa<br>43 Mossel Bay  |   | 1 M B2   | TRUE   | -   |   | -  | 1   |   | -   | -                              | -   | 1 :   | -   | -                              | -   | -  |  | :                    | :  | -                     | -  | -                                  |  |   | -  |
| 14 George   |   | 1 H B2   |  | TRUE  | TRUE  | -  | 1   |   |   | -                              | :   | -   | -   | -                              | -   | -  | -  | -                    | - ]  | -                     | -  | -                                  | -  |   | -  |
| 15 Oudtshoorn<br>17 Bitou   |   | 1 M B3<br>- M B3                               | TRUE -   | -   | TRUE<br>-   | -  | - 1   |   | -   | -                              | -   | ] :   | -   | -                              | -   |  | -  | - [                  | :  | -                     | -  | -                                  |  |   | ]  |
| 148 Knysna<br>Garden Route  |   | - M B3   | 1 :  | -   | -   | -  | -   | ] :   | -   | -                              | :   | :   | -   | -                              | -   | -  | -  | - [                  |  | -                     | -  | -                                  | -  |   | ] :  |
| 051 Laingsburg  |   | 2 M B3   | -  | TRUE  | TRUE  | -  | 1   | -   | -   | NO REPORTING                   | -   | -   | -   | NO REPORTING                   |   | -  | - O REF  |                      | -  | -                     |  | O REPORTING                        | -  | TRUE                                      | 1  |
|   |   |  |  |   |   |  |   |   |   | NO KEPOKTING                   |   |   |   |                                |   |  |  |                      |  |                       |  |                                    | -  |   |  |
| C052 Prince Albert C053 Beaufort West C5 Central Karoo                                  |   | 1 M B3   | TRUE<br>TRUE   | -   | TRUE  | -  | 1   | NO FUNCTION                                 | -   | -                              | -   | NO FUNCTION                                 |   | -                              | -   | NO FUNCTION                              | -  | -                    | -  | NO FUNCTION           |  |                                    | -  |   | -  |

|   |  | MFMA s1   | 138 Triggers | S   | 0%                      | MFMA s1                    | 10 Triggers<br>Budget Q3                          |                              |   |                            | 40 Triggers<br>ar Adjusted<br>2018/19                |                              |   |                           | 0 Triggers (<br>Pro-Rata B<br>2018/19             |                             |  | Based on                  | 0 Triggers (SE<br>n Full Year Adj<br>ndget 2018/19      | usted                    |       | State of<br>Govern<br>Finance<br>Manageme | ment<br>& Fin |
|---|--|---|--------------|---|-------------------------|----------------------------|---|------------------------------|---|----------------------------|--|------------------------------|---|---------------------------|---|-----------------------------|--|---------------------------|---|--------------------------|-------|---|---------------|
| Total Number of Triggers per Municipality Based on Frigger Adj Budget A Triggers A Triggers | Failure to<br>make<br>payments a<br>when due<br>(s138(a))<br>(90 days<br>R1m)<br>March 201 | Deficit > 5% c<br>s & total direct<br>revenue<br>(s138(d))<br>>     | & (f))       | e) reason that<br>makes it likely<br>not to be able<br>to meet<br>obligations for<br>financial  | (1 or more<br>Criteria) | Failure to ma<br>operating | ke any other pa<br>g expenditure (s<br>March 2019 |                              | s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to ma<br>operating | ike any other pay<br>g expenditure (st<br>March 2019 | 140(2)(c))                   | s140<br>Trigger<br>index<br>(1 or more<br>Criteria) | Failure to make operating | e any other payn<br>expenditure (s1<br>March 2019 | ment > 40% of<br>140(2)(c)) | Severe s140 Trigger index (1 or more Criteria) | Failure to make operating | e any other paymen<br>expenditure (s140(2<br>March 2019 | 2)(c))                   |       | Financial<br>Distress 2018                | Financial     |
| 80.5%   |  | Q3 Revenue a<br>Deficit based<br>on<br>YTD Billing &<br>Expenditure | i<br>L       | Negative Cash<br>Position - Two<br>consecutive<br>years (Cash/ &<br>equivalents at<br>year end) |                         | ESKOM<br>> 2% Opex         | Water Boards<br>> 2% Opex                         | Total creditors<br>> 2% Opex | 47.1%   | ESKOM<br>> 2% Opex         | Water Boards<br>> 2% Opex                            | Total creditors<br>> 2% Opex | 44.4%   |                           | Water Boards<br>> 40% Opex                        |                             | 19.1%  |                           | Water Boards Tota<br>> 40% Opex >                       | al creditors<br>40% opex | 15.6% |   | 48.6%         |